

ADVISER CODE (if applicable)



# Milford Investment Funds Company / Trust / Partnership Subsequent Investment Form

This form is for existing Milford Investment Fund investors who wish to invest into another Fund(s). This form can only be used for Company, Trust or Partnership investment holdings.

**Please send completed form to**

**Email:** transactions@milfordasset.com

**Post:** Milford Funds Limited, PO Box 960, Shortland Street, Auckland 1140

ACCOUNT NUMBER (of existing Milford Investment Fund)

## Investing Entity Details

COMPANY/TRUST/PARTNERSHIP NAME

NAME OF DIRECTOR / TRUSTEE / PARTNER 1

NAME OF DIRECTOR / TRUSTEE / PARTNER 2

NAME OF DIRECTOR / TRUSTEE / PARTNER 3

NAME OF DIRECTOR / TRUSTEE / PARTNER 4

## Investment Details

### 1. Primary Purpose for the Investment\*

Please tell us the primary reason you are investing these funds with us.

Retirement  Income  Investment  Other (please specify)

How do you intend to transact on this account? (Please select all that apply).

**Deposits** (please select at least one)

Regular  Now and then  Lump sum (one-off)

**Withdrawals** (please select at least one)

Regular  Now and then  Lump sum (one-off)

\* Please note this information is requested solely in relation to Milford's Anti-Money Laundering and Countering Financing of Terrorism Act 2009 obligations and is not used to assess the suitability of your product selection, or to provide financial advice.

# Milford Investment Funds

## Company / Trust / Partnership Subsequent Investment Form (continued)

### Investment Details (continued)

**2. Are the funds you wish to invest new to Milford or a transfer from an existing Milford Fund?**

- New funds - please complete 'Source of Funds/Wealth' in question 3 below
- Transfer - please complete 'Milford Fund Holding to be Transferred' in question 5

**3. Source of Funds/Wealth\* (if applicable)**

Please tell us the original source of the funds you are investing with us. You will need to supply proof of the source of funds such as sale & purchase agreement, payslips or legal documentation, if not covered by existing documentation.

- Inheritance/windfall    Property sale    Asset/Business sale    Personal income    Accumulated savings    Superannuation

Please provide further details about the source of funds. For example, sale of property at 123 Sample Street for \$600,000 on 21/06/2014.

\* Please note this information is requested solely in relation to Milford's Anti-Money Laundering and Countering Financing of Terrorism Act 2009 obligations and is not used to assess the suitability of your product selection, or to provide financial advice.

**4. Payment details and process (if applicable)**

**Please note your subsequent investment fund(s) must be established with Milford before we can accept any funds for investment.**

Once your new Fund(s) has been setup we will contact you with the appropriate bank account details and references for you to make a direct credit payment.

**5. Milford Fund Holding to be Transferred (if applicable)**

If you wish to transfer funds from an existing Milford Fund(s) to a new Fund holding, please indicate below the amount to be transferred.

Milford Conservative Fund	\$	Milford Cash Fund	\$
Milford Diversified Income Fund	\$	Milford Trans-Tasman Bond Fund	\$
Milford Balanced Fund	\$	Milford Global Corporate Bond Fund	\$
Milford Active Growth Fund	\$	Milford Global Equity Fund	\$
Milford Australian Absolute Growth Fund	\$	Milford Trans-Tasman Equity Fund	\$
Milford Aggressive Fund	\$	Milford Dynamic Fund	\$

<b>Total Transfer Amount</b>	<b>\$</b>
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# Milford Investment Funds

## Company / Trust / Partnership Subsequent Investment Form (continued)

### Investment Details (continued)

#### 6. New Investment Details

		Indicative Investment Amount (Minimum of \$1,000 Per Fund)	Distribution Options (If no option is selected, all distributions will be reinvested)	
Multi-Asset Funds	Milford Conservative Fund	\$	<input type="checkbox"/> Reinvest	<input type="checkbox"/> Direct Credit
	Milford Diversified Income Fund	\$	<input type="checkbox"/> Reinvest	<input type="checkbox"/> Direct Credit
	Milford Balanced Fund	\$	N/A	N/A
	Milford Active Growth Fund	\$	N/A	N/A
	Milford Australian Absolute Growth Fund	\$	N/A	N/A
	Milford Aggressive Fund	\$	N/A	N/A
Cash & Fixed Income Funds	Milford Cash Fund	\$	N/A	N/A
	Milford Trans-Tasman Bond Fund	\$	<input type="checkbox"/> Reinvest	<input type="checkbox"/> Direct Credit
	Milford Global Corporate Bond Fund	\$	<input type="checkbox"/> Reinvest	<input type="checkbox"/> Direct Credit
Equity Funds	Milford Global Equity Fund	\$	N/A	N/A
	Milford Trans-Tasman Equity Fund	\$	<input type="checkbox"/> Reinvest	<input type="checkbox"/> Direct Credit
Total		\$		

Note the Milford Dynamic Fund is closed to new investment. The Manager may consider certain categories of new investment at its discretion from time to time.

#### 7. Product Disclosure Statement

Please ensure you read the latest Product Disclosure Statement, dated 30 November 2023, provided with this form and also available online at [milfordasset.com/if-pds](http://milfordasset.com/if-pds)

Your Agreement

**Privacy Statement**

- The personal information you provide in this Application Form (and identity information collected in connection with this Application Form), or in the future, will be collected and held by Milford Funds Limited (“Milford”) for purposes relating to the administration, marketing, operation, security and management of the Funds, the provision of services to you, and compliance with any laws, rules and regulations whether in New Zealand or in any other country. Milford will do this in accordance with all applicable New Zealand privacy laws and our Privacy Policy which can be accessed on our website at [milfordasset.com/privacy-policy](http://milfordasset.com/privacy-policy). Your personal information may be disclosed to, and held and used by, the following persons:
  - The Supervisor of the Funds
  - Milford Asset Management Limited or any of its subsidiaries or related companies (together, the “Milford Group”)
  - Inland Revenue
  - Financial Markets Authority
  - Any third party, whether in New Zealand or elsewhere, that provides services to the Milford Group. In particular, if you have opted for electronic identity verification, the information will be shared with external agencies who may use a credit file header database to verify your address (note, this is not a credit check)
  - Your own financial adviser (and their staff), this only applies if you have notified us of this relationship (you are responsible for advising us if and when this relationship ends)
  - Any other person or entity where it is relevant to do so for the purposes set out above.

We can also release personal information to third parties as instructed by you and in other ways permitted by the Privacy Act.
- Your personal information may also be used by, and you consent to the use of your personal information by, Milford or any other member of the Milford Group to keep you informed about other financial opportunities, products and services of any Milford Group member, including by email, by text message, by Milford Group’s online portal or by any other electronic means. Any electronic communication offering other financial opportunities, products or services will include an unsubscribe facility.
- You have the right to access and request correction to the personal information you have supplied, by contacting Milford on 0800 662 345 or by emailing us at [info@milfordasset.com](mailto:info@milfordasset.com). Any update to your personal information may be used to update other information held about you by any member of the Milford Group.

**Electronic provision of information**

- I/We consent to receiving any communication from the Supervisor or any member of the Milford Group electronically via Milford Group’s online portal, or at the email address specified in this Application Form, or any other email address advised to any Milford Group entity from time to time. These communications include those required by law and those provided in connection with your Fund, including annual reports, annual tax certificates and six-monthly transaction confirmations.

**Declaration**

- I/We have received and read a copy of the Product Disclosure Statement dated 30 November 2023 (“PDS”), and understand that additional information about the Funds is available on the Funds’ online register entry.
- I/We agree to be bound by the terms and conditions of the PDS and the Trust Deed.
- I/We understand that the value of my/our investment is liable to fluctuations and may rise and fall from time to time.
- I/We accept that it is solely my/our decision to make this investment and that I/we have chosen the appropriate Fund for my/our risk tolerance and circumstances.
- I/We understand that Milford has not assessed the suitability of this investment for my/our personal financial situation, financial needs or goals.
- I/We understand the manner in which the fees will be deducted from my/our investment.
- I/We acknowledge that I/we will be unable to make any withdrawal from the Funds until Milford has received my/our signed withdrawal request and any supporting or identification information required.
- All the information I/we have provided in this Application Form is to the best of my/our knowledge and belief, correct and complete.
- I/We will immediately advise Milford about any changes to my/our personal details (including my/our residential or email address, telephone number, legal status or capacity).
- I/We undertake to notify Milford of any change in tax residency status or a change which causes the information to become incorrect or incomplete.
- We confirm that we will immediately advise Milford of any changes to the directors/trustees/partners of the entity.
- I/We will immediately advise Milford of any changes, variations or amendments to the entity which affects the directors/trustees/partners powers of investment.
- I/We acknowledge and agree to the terms of the Privacy Statement and electronic provision of information above.

SIGNATURE OF DIRECTOR / TRUSTEE / PARTNER 1

DATE

 /  / 

SIGNATURE OF DIRECTOR / TRUSTEE / PARTNER 2

DATE

 /  / 

SIGNATURE OF DIRECTOR / TRUSTEE / PARTNER 3

DATE

 /  / 

SIGNATURE OF DIRECTOR / TRUSTEE / PARTNER 4

DATE

 /  /